

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

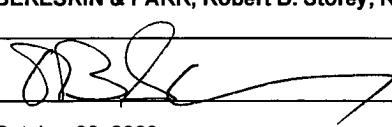
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/918,398	
	Filing Date	07/31/2001	
	First Named Inventor	Naomi MATSUURA	
	Group Art Unit	2874	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	48	Attorney Docket Number	11784-3

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Acknowledgement postcard Letter Cited U.S. patent
Remarks		

RECEIVED

OCT 24 2002

TECHNOLOGY CENTER 2874

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BERESKIN & PARR, Robert B. Storey, Registration No. 33,108
Signature	
Date	October 23, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Robert B. Storey
Direct Line: 416 957 1615
rstorey@bereskinparr.com

Bereskin & Parr



Barristers and Solicitors/Patent and Trade Mark Agents
Practice Restricted to Intellectual Property Law

Our Reference No. 11784-3

25
Supp ID
10306
BJS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)

Naomi MATSUURA)

Harry E. RUDA)

Ben G. YACOBI)
)

Serial No. 09/918,398)
)

Filed: 07/31/2001)
)

For: **CONFIGURABLE PHOTONIC**)
DEVICE)
)

Art Unit: 2874

Examiner: As yet unknown

TECHNOLOGY CENTER 2800

OCT 24 2002

RECEIVED

October 23, 2002

The Commissioner of Patents
& Trademarks
Washington, D.C. 20231
U.S.A.

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, Applicant hereby submits a Supplemental Information Disclosure Statement By Applicant containing a listing of patents and other publications of which Applicant is aware. Applicant is also submitting copies of the references listed on the Information Disclosure Statement.

please send your reply to:

Scotia Plaza, 40 King Street West, 40th Floor
Toronto, Ontario, Canada M5H 3Y2
416 364 7311 fax: 416 361 1398

Meadowvale Corporate Centre, 2000 Argentia Road
Plaza 4, Suite 430, Mississauga, Ontario, Canada L5N 1W1
905 812 3600 fax: 905 814 0031

www.bereskinparr.com

All of the patents and publications submitted herewith are in the English language, except the two Japanese patents which include a English translation of the abstract. Accordingly a concise explanation of the relevance of the documents is not required.

Each of the references was cited in the International Search Report of the corresponding PCT application. The applicant does not have translations for the Japanese patents. The understanding of the undersigned of the relevance of the Japanese patents is based upon their being cited in the International Search Report. Included in the Information Disclosure Statement is U.S. patent No. 6,205,266 corresponding to Japanese patent No. 2000111816 cited in the International Search Report.

The Information Disclosure Statement is filed, to the knowledge of the applicant, prior to the mailing of a first office action on the merits. Accordingly, the applicant submits that the Information Disclosure Statement is properly considered at this time.

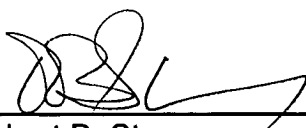
The Examiner is requested to indicate consideration of these documents by initialing the appropriate column.

Applicant reserves the right to contest the applicability of any of these documents as prior art against the subject application. If the Examiner has any questions concerning this Information Disclosure Statement, he/she is requested to contact the undersigned. Entry of the enclosed Information Disclosure Statement is believed to be in order and is respectfully requested.

This Supplemental Information Disclosure Statement is being filed before the issuance of a first official action, and therefore no fees are required. However, please charge our deposit account No. 02-2095 if such a fee is required.

Respectfully submitted,

Naomi MATSUURA et al.



Robert B. Storey
Registration No. 33,108

Dated: October 23, 2002
Bereskin & Parr
Box 401, 40 King Street West
Toronto, Ontario, Canada
M5H 3Y2

(416) 364-7311

RECEIVED
OCT 24 2002
TECHNOLOGY CENTER 2800